



## 6 Key Components for Reducing ESI

### 1. Leadership Oversight

- Review and communicate policy statement to include assurance of safety for all by using ESI as a last resort and a commitment to the reduction of ESI
- Develop, analyze, and communicate data on [Goals to Reduce ESI](#)
- Implement, monitor, and communicate Treatment Integrity Forms
  - [Teacher Treatment Integrity Form](#)
  - [Direct Care Staff Treatment Integrity Form](#)
  - [Trauma-Informed Care practices](#)
- Prevention plan on Analysis of Debriefing Form includes performance development training as needed
  - Include families in performance development training as indicated in IEP
- Develop, communicate, and monitor [Staff Recognition Plan](#)
- Monitor the completion of the [Debriefing Form](#)
- Facilitate the [Analysis of Debriefing Form](#) and monitor implementation of the changes to future procedures
- Implement, communicate, and monitor [Oversight Plan](#)
- Develop, monitor, and communicate [Action Plan](#) for reducing ESI

### 2. Use Data to Inform Practice

- Gather baseline of goal to reduce ESI
- [Track, graph, analyze](#), post, and [recognize](#) the progress of the goals

### 3. Performance Development

- Organize a Performance Development Team to provide new hire and ongoing training (ie: monthly)
- Allow staff input on performance development training
- New Hire and Ongoing Training Includes:
  - [Behavior Skills Training](#) (BST) to guide direct care staff
  - [Debriefing](#) procedures
  - [ESI laws and regulations](#)
  - ESI [Oversight Plan](#)
  - ESI Prevention Supports (see below)
  - ESI Treatment Integrity Forms (see above)
  - [Neurobiological effects of trauma and student diagnoses](#)
  - Restraint and seclusion procedures (ie: [CPI](#))
  - [Staff Recognition Plan](#) for ESI Reduction
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#### 4. Use ESI Prevention Supports

- Behavioral Supports:
  - [5:1 Ratio](#) of positive to negative staff to student interaction
  - [Behavior momentum](#)
  - [Choice-Making](#) strategies
  - [Comfort or Calming Area](#)
  - [Debrief](#) after each crisis incident
  - [De-escalation model](#) and strategies
  - [Extinction](#) for off-task behaviors
  - [Modification](#) of [antecedent interventions](#)
  - [Pairing](#)
  - [Pre-corrections](#)
  - [Safety plan](#) for trauma triggers
  - [Schedule of reinforcement](#)
  - [Staff self-care](#) and self-regulation strategies
- Instructional Supports:
  - Active [student engagement](#)
  - [Active supervision](#)
  - Embed Social Emotional Learning throughout the day
  - [Errorless teaching](#) procedures (you will need to create a log in on Moodle)
  - Evidence-based [academic](#), [social](#), recreational, and [transition](#) activities
  - Fast-paced instruction with little down time ([9 Effective Teaching Procedures](#))
  - Intersperse easy and difficult demands at 80/20 ratio
  - Mix and vary type and presentation of instruction tasks and demands
  - [Prompting procedures](#)
  - [Opportunities to respond](#)
  - Teach skills to fluency not just mastery
  - Train staff on academic and behavioral interventions using BST model
- Environmental Supports:
  - Class and student [schedules](#)
  - Established and defined [rules & routines](#)
  - [Organization](#) of instructional materials (includes providing materials to support staff prior to lesson starting)
  - Physical and visual [structure](#) of the classroom
  - [Transition cue](#) between activities

#### 5. Student and Family Engagement

- Provide [choice-making](#) opportunities throughout the school day
- Provide [documentation to parents](#) regarding each crisis incident
- Provide [evidence-based](#) activities to increase capabilities to their full potential
- Provide an opportunity to [debrief with the student](#) after each crisis
- Provide opportunities for committee representation
- Provide opportunities for families to complete satisfaction surveys

- Provide [transition](#) opportunities within the school setting
- 6. Use of Debriefing Techniques**
  - Implement after EACH crisis behavior
  - The purpose is to prevent future ESIs and prevent trauma to staff and students
  - Includes:
    - Immediate post-event discussion with the student and staff:
      - Ensure all parties are safe and calm
      - Get facts from all parties involved
      - Determine classroom re-entry procedures
      - Complete [Debriefing Form](#)
    - Communication of ESI to the executive team member(s) by the on-site supervisor
      - Purpose of Communication:
        - Informs of working conditions
        - Informs of needed support
        - Informs of needed performance development
    - [Analysis of Debriefing Form](#) with the crisis team
      - Completed within 1-2 days after the ESI
      - Includes student or student designee, parent or guardian, staff involved, on-site supervisor, executive team member, medical staff/nurse, and behavioral support staff
      - Use Root Cause Analysis (RCA) tools to determine:
        - What went wrong?
        - What knowledge was unknown or missed?
        - How could we have responded differently?
        - How to avoid the crisis in the future?
      - Communicate the need for particular staff training to the Performance Development Team based on analysis of the Debriefing Form

Adapted from Huckshorn, K.A.; LeBel, J.; Caldwell, B. (Eds.) (2018). [Six Core Strategies©: Preventing Violence, Conflict and the Use of Seclusion and Restraint in Inpatient Behavioral Health Settings. An Evidence-based Practice Curriculum Training Manual](#). Originally developed with the National Association of State Mental Health Program Directors (2002-2009); Alexandria, VA. All rights apply to use of these author edited materials.